

APPENDIX A**IPR Matters and Federal Circuit Appeals Relating To Patents Asserted In *Masimo Corporation and Cercacor Laboratories, Inc. v. Apple Inc.*, C.A. No. 8:20-00048-JVS-JDE (CDCA)**

Case	Case Filing Date	Patent No.	Status	Termination/ Est. Termination
Apple Inc. v. Masimo Corporation, IPR2020-01520 (PTAB)	8/31/2020	10258265	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01524 (PTAB)	8/31/2020	10433776	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01526 (PTAB)	8/31/2020	6771994	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01537 (PTAB)	8/31/2020	10588553	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01536 (PTAB)	8/31/2020	10588553	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01521 (PTAB)	9/2/2020	10292628	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01538 (PTAB)	9/2/2020	10588554	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01539 (PTAB)	9/2/2020	10588554	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01523 (PTAB)	9/9/2020	8457703	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01713 (PTAB)	9/30/2020	10624564	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01714 (PTAB)	9/30/2020	10631765	Appealed to CAFC	<i>See</i> associated appeal below

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Apple Inc. v. Masimo Corporation, IPR2020-01715 (PTAB)	9/30/2020	10631765	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01716 (PTAB)	9/30/2020	10702194	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01733 (PTAB)	9/30/2020	10702195	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01737 (PTAB)	9/30/2020	10709366	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01722 (PTAB)	10/2/2020	10470695	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2020-01723 (PTAB)	10/2/2020	10470695	Institution Denied 7/21/2021	7/21/2021
Apple Inc. v. Masimo Corporation, IPR2021-00208 (PTAB)	11/20/2020	10258266	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2021-00193 (PTAB)	11/20/2020	10299708	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2021-00195 (PTAB)	11/20/2020	10376190	Appealed to CAFC	<i>See</i> associated appeal below
Apple Inc. v. Masimo Corporation, IPR2021-00209 (PTAB)	11/20/2020	10376191	Appealed to CAFC	<i>See</i> associated appeal below
Masimo Corporation v. Apple Inc., 22-1631 (CAFC) (Appeal from IPR2020-01520)	4/13/2022	10258265	Pending	10/13/2023
Masimo Corporation v. Apple Inc., 22-1632 (CAFC) (Appeal from IPR2020-01521)	4/13/2022	10292628	Pending	10/13/2023

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Masimo Corporation v. Apple Inc., 22-1633 (CAFC) (Appeal from IPR2020-01714)	4/13/2022	10631765	Pending	10/13/2023
Masimo Corporation v. Apple Inc., 22-1634 (CAFC) (Appeal from IPR2020-01715)	4/13/2022	10631765	Pending	10/13/2023
Masimo Corporation v. Apple Inc., 22-1635 (CAFC) (Appeal from IPR2020-01536)	4/13/2022	10588553	Pending	10/13/2023
Masimo Corporation v. Apple Inc., 22-1636 (CAFC) (Appeal from IPR2020-01537)	4/13/2022	10588553	Pending	10/13/2023
Masimo Corporation v. Apple Inc., 22-1637 (CAFC) (Appeal from IPR2020-01538)	4/13/2022	10588554	Pending	10/13/2023
Masimo Corporation v. Apple Inc., 22-1638 (CAFC) (Appeal from IPR2020-01539)	4/13/2022	10588554	Pending	10/13/2023
Apple Inc. v. Masimo Corporation, 22-1890 (CAFC) (Appeal from IPR2020-01523)	6/10/2022	8457703	Pending	12/10/2023
Apple Inc. v. Masimo Corporation, 22-1891 (CAFC) (Appeal from IPR2020-01524)	6/10/2022	10433776	Pending	12/10/2023
Masimo Corporation v. Apple Inc., 22-1894 (CAFC) (Appeal from IPR2020-01526)	6/13/2022	6771994	Pending	12/13/2023
Masimo Corporation v. Apple Inc., 22-1895 (CAFC) (Appeal from IPR2020-01722)	6/13/2022	10470695	Pending	12/13/2023

Case	Case Filing Date	Patent No.	Status	Termination/ Est. Termination
Masimo Corporation v. Apple Inc., 22-1972 (CAFC) (Appeal from IPR2020-01713)	6/30/2022	10624564	Pending	12/30/2023
Masimo Corporation v. Apple Inc., 22-1973 (CAFC) (Appeal from IPR2020-01716)	6/30/2022	10702194	Pending	12/30/2023
Masimo Corporation v. Apple Inc., 22-1975 (CAFC) (Appeal from IPR2020-01733)	6/30/2022	10702195	Pending	12/30/2023
Masimo Corporation v. Apple Inc., 22-1976 (CAFC) (Appeal from IPR2020-01737)	6/30/2022	10709366	Pending	12/30/2023
Masimo Corporation v. Apple Inc., 22-2069 (CAFC) (Appeal from IPR2021-00193)	7/29/2022	10299708	Pending	1/29/2024
Masimo Corporation v. Apple Inc., 22-2070 (CAFC) (Appeal from IPR2021-00195)	7/29/2022	10376190	Pending	1/29/2024
Masimo Corporation v. Apple Inc., 22-2071 (CAFC) (Appeal from IPR2021-00208)	7/29/2022	10258266	Pending	1/29/2024
Masimo Corporation v. Apple Inc., 22-2072 (CAFC) (Appeal from IPR2021-00209)	7/29/2022	10376191	Pending	1/29/2024

IPR Matters Relating To Patents Asserted In *Apple Inc. v. Masimo Corporation, et al.*,**C.A. No. 22-1378-MN-JLH (D. Del.)**

Case	Case Filing Date	Patent No.	Status	Termination/ Est. Termination
Apple Inc. v. Masimo Corporation, IPR2022-01271 (PTAB)	7/15/2022	10912501	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01272 (PTAB)	7/15/2022	10912501	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01273 (PTAB)	7/15/2022	10912502	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01274 (PTAB)	7/15/2022	10912502	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01275 (PTAB)	7/15/2022	10945648	Institution Denied 3/1/2023	3/1/2023
Apple Inc. v. Masimo Corporation, IPR2022-01276 (PTAB)	7/15/2022	10945648	Institution Denied 3/1/2023	3/1/2023
Apple Inc. v. Masimo Corporation, IPR2022-01299 (PTAB)	7/22/2022	7761127	Instituted + Pending	2/1/2024 (If no appeal) 8/1/2025 (If appeal)
Apple Inc. v. Masimo Corporation, IPR2022-01291 (PTAB)	7/22/2022	10687745	Instituted + Pending	2/1/2024 (If no appeal) 8/1/2025 (If appeal)
Apple Inc. v. Masimo Corporation, IPR2022-01292 (PTAB)	7/22/2022	10687745	Institution Denied 3/7/2023	3/7/2023
Apple Inc. v. Masimo Corporation, IPR2022-01300 (PTAB)	7/22/2022	7761127	Institution Denied 3/27/2023	3/27/2023
Apple Inc. v. Masimo Corporation, IPR2022-01465 (PTAB)	8/26/2022	10687745	Instituted + Pending	2/6/2024 (If no appeal) 8/6/2025 (If appeal)
Apple Inc. v. Masimo Corporation, IPR2022-01466 (PTAB)	8/26/2022	10687745	Institution Denied 4/6/2023	4/6/2023

Case	Case Filing Date	Patent No.	Status	Termination/ Est. Termination
Masimo Corporation v. Apple Inc., IPR2023-00635 (PTAB)	2/27/2023	10987054	IPR Petition Filed	8/27/2023 (If no institution) 8/27/2024 (If institution, but no appeal) 2/27/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00634 (PTAB)	2/27/2023	10627783	IPR Petition Filed	8/27/2023 (If no institution) 8/27/2024 (If institution, but no appeal) 2/27/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00664 (PTAB)	3/6/2023	11106352	IPR Petition Filed	9/6/2023 (If no institution) 9/6/2024 (If institution, but no appeal) 3/6/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00734 (PTAB)	3/20/2023	10942491	IPR Petition Filed	9/20/2023 (If no institution) 9/20/2024 (If institution, but no appeal) 3/20/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00745 (PTAB)	3/22/2023	10076257	IPR Petition Filed	9/22/2023 (If no institution) 9/22/2024 (If institution, but no appeal) 3/22/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00807 (PTAB)	4/4/2023	11474483	IPR Petition Filed	10/4/2023 (If no institution) 10/4/2024 (If institution, but no appeal) 4/4/2026 (If institution and appeal)

IPR Matters Relating To Patents Asserted In *Apple Inc. v. Masimo Corporation, et al.*,**C.A. No. 22-1377-MN-JLH (D. Del.)**

Case	Case Filing Date	Patent No.	Status	Termination/ Est. Termination
Masimo Corporation v. Apple Inc., IPR2023-00702 (PTAB)	3/9/2023	D947842	IPR Petition Filed	9/9/2023 (If no institution) 9/9/2024 (If institution, but no appeal) 3/9/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00728 (PTAB)	3/16/2023	D962936	IPR Petition Filed	9/16/2023 (If no institution) 9/16/2024 (If institution, but no appeal) 3/16/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00774 (PTAB)	3/24/2023	D883279	IPR Petition Filed	9/24/2023 (If no institution) 9/24/2024 (If institution, but no appeal) 3/24/2026 (If institution and appeal)
Masimo Corporation v. Apple Inc., IPR2023-00831 (PTAB)	4/11/2023	D735131	IPR Petition Filed	10/11/2023 (If no institution) 10/11/2024 (If institution, but no appeal) 4/11/2026 (If institution and appeal)

IPR Matters Relating To Patents Asserted In *Certain Light-Based Physiological Measurement Devices and Components Thereof*, Inv. No. 337-TA-1276 (ITC)

Case	Case Filing Date	Patent No.	Status	Termination/ Est. Termination
Apple Inc. v. Masimo Corporation, IPR2022-01271 (PTAB)	7/15/2022	10912501	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01272 (PTAB)	7/15/2022	10912501	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01273 (PTAB)	7/15/2022	10912502	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01274 (PTAB)	7/15/2022	10912502	Institution Denied 2/23/2023	2/23/2023
Apple Inc. v. Masimo Corporation, IPR2022-01275 (PTAB)	7/15/2022	10945648	Institution Denied 3/1/2023	3/1/2023
Apple Inc. v. Masimo Corporation, IPR2022-01276 (PTAB)	7/15/2022	10945648	Institution Denied 3/1/2023	3/1/2023
Apple Inc. v. Masimo Corporation, IPR2022-01299 (PTAB)	7/22/2022	7761127	Instituted + Pending	2/1/2024 (If no appeal) 8/1/2025 (If appeal)
Apple Inc. v. Masimo Corporation, IPR2022-01291 (PTAB)	7/22/2022	10687745	Instituted + Pending	2/1/2024 (If no appeal) 8/1/2025 (If appeal)
Apple Inc. v. Masimo Corporation, IPR2022-01292 (PTAB)	7/22/2022	10687745	Institution Denied 3/7/2023	3/7/2023
Apple Inc. v. Masimo Corporation, IPR2022-01300 (PTAB)	7/22/2022	7761127	Institution Denied 3/27/2023	3/27/2023
Apple Inc. v. Masimo Corporation, IPR2022-01465 (PTAB)	8/26/2022	10687745	Instituted + Pending	2/6/2024 (If no appeal) 8/6/2025 (If appeal)
Apple Inc. v. Masimo Corporation, IPR2022-01466 (PTAB)	8/26/2022	10687745	Institution Denied 4/6/2023	4/6/2023